

Regular & Specialized SBICs Transferred to Liquidation  
Fiscal Years 1968 to 2003

Fiscal Year	Regular SBICs		Specialized SBICs		All Licensees	
	Number	Leverage at Transfer	Number	Leverage at Transfer	Number	Leverage at Transfer
1968	73	23,302,310	0	0	73	\$23,302,310
1969	11	3,788,674	0	0	11	\$3,788,674
1970	6	1,109,074	0	0	6	\$1,109,074
1971	58	16,425,787	0	0	58	\$16,425,787
1972	5	1,692,200	1	600,000	6	\$2,292,200
1973	3	10,450,436	0	0	3	\$10,450,436
1974	7	4,300,117	1	300,000	8	\$4,600,117
1975	9	19,346,224	0	0	9	\$19,346,224
1976	6	10,436,742	2	600,000	8	\$11,036,742
1977	10	17,191,988	0	0	10	\$17,191,988
1978	9	31,567,920	5	4,145,000	14	\$35,712,920
1979	2	2,982,183	7	8,226,000	9	\$11,208,183
1980	10	10,645,716	10	8,132,657	20	\$18,778,373
1981	6	29,281,658	9	5,371,950	15	\$34,653,608
1982	14	44,999,260	3	4,280,000	17	\$49,279,260
1983	10	39,872,317	4	6,850,000	14	\$46,722,317
1984	8	37,009,124	6	13,470,000	14	\$50,479,124
1985	3	9,210,000	4	7,670,000	7	\$16,880,000
1986	18	46,440,681	8	11,750,000	26	\$58,190,681
1987	26	99,041,706	12	25,275,986	38	\$124,317,692
1988	18	73,754,500	8	11,450,000	26	\$85,204,500
1989	12	39,420,000	2	5,030,000	14	\$44,450,000
1990	15	86,810,000	6	18,860,090	21	\$105,670,090
1991	22	121,902,399	9	15,575,000	31	\$137,477,399
1992	13	51,750,192	12	31,394,265	25	\$83,144,457
1993	11	53,174,604	13	29,350,000	24	\$82,524,604
1994	10	38,450,000	7	21,745,676	17	\$60,195,676
1995	4	8,500,000	3	7,343,120	7	\$15,843,120
1996	0	0	2	2,500,000	2	\$2,500,000
1997	4	18,390,000	1	1,679,000	5	\$20,069,000
1998	1	1,000,000	1	6,000,000	2	\$7,000,000
1999	1	3,270,000	1	2,070,000	2	\$5,340,000
2000	3	11,644,676	2	5,530,000	5	\$17,174,676
2001	6	91,526,373	1	2,000,000	7	\$93,526,373
2002	10	247,976,012	2	10,233,000	12	\$258,209,012
2003	17	431,583,432	1	1,200,000	18	\$432,783,432
Total	441	\$1,738,246,305	143	\$268,631,744	584	\$2,006,878,049

FY 2003 Leverage at Transfer includes \$61,568,648 in Prioritized Payments for 13 Participating Securities SBICs. Total Leverage at Transfer includes \$116,217,911 in Prioritized Payments for 22 Participating Securities SBICs. These SBICs have a contingent obligation to repay these payments based on profits.

On September 30, 2003, the Office of Liquidation had 85 open cases with a current balance of SBA leverage outstanding of \$708.8 million and \$23.4 in prior payments. There were 56 open Regular SBIC cases with \$678.5 million of leverage outstanding and \$23.4 in prior payments and 29 open Specialized SBIC cases with \$30.3 million of leverage outstanding.