



U. S. SMALL BUSINESS ADMINISTRATION  
RICHMOND DISTRICT OFFICE  
FEDERAL BUILDING, SUITE 1150  
400 N. 8<sup>th</sup> STREET  
P.O. Box 10126  
RICHMOND, VA 23240-0126

## **SBA Nomination Guidelines** **Small Business Week Awards 2003**

In recognition of the small business community's contribution to the American economy and society, the President of the United States designates one week each year as National Small Business Week.

Leading up to that week, the U.S. Small Business Administration, in partnership with public- and private-sector small business supporters, will cosponsor special events to honor and present awards to the nation's entrepreneurs and small business advocates at the SBA-district, state and national levels.

The award winners from those local and state events in the following categories will be invited to Washington, D.C. for a National Small Business Conference and Trade Show in their honor:

- Small Business Person of the Year  
(From each of the 50 states, the District of Columbia, Puerto Rico and the U.S. Virgin Islands, and Guam);
- Small Business Advocates;

### **Small Business Person Awards**

- State Small Business Person of the Year

### **Small Business Advocate Awards**

- Entrepreneurial Success
- Financial Services Advocate of the Year
- Home-based Business Advocate of the Year
- Minority Small Business Advocate of the Year
- Research Advocate of the Year
- SBA Young Entrepreneur of the Year
- Small Business Exporter of the Year
- Small Business Journalist of the Year
- Veteran Small Business Advocate of the Year
- Women in Business Advocate of the Year

### **To Nominate for Small Business Person and Small Business Advocate Awards:**

Any individual or organization dedicated to the support of the small business community in the United States, including, but not limited to, trade and professional associations and business organizations, may submit nominations for Small Business Person awards and Small Business Advocate awards.

Nominations in Virginia must be submitted to the U.S. Small Business Administration Richmond District Office. All nominations must be postmarked or hand delivered no later than December 6, 2002.

You may submit each nominee for one award category only. Nominations must be typewritten on one side of an 8-1/2" x 11" white stationery, collated and secured in an 11/2" binder. Incomplete nomination packages will not be considered. A complete nomination package will include, in the following order:

1. A single cover page with —
  - the nominee's full name, title, business and home addresses with telephone and fax numbers;
  - the award for which the nomination is being made;
  - the nominator's name, title, place of business, business address and telephone number; and
  - a one-paragraph description of the nominee's business for Small Business Person of the Year, Small Business Exporter of the Year, Entrepreneurial Success Award and SBA Young Entrepreneur of the Year nominations, or the profession/occupation for all Advocate of the Year nominations.
2. A completed SBA Form 898 (Biographical Data) available from the SBA Richmond District Office. For "team" nominations for Small Business Person of the Year, a Form 898 is required for each team member.  
Or click this link: **Nomination SBA Form 898:** <http://www.sba.gov/sbaforms/sba898.pdf>
3. An original 8" x 10" or 5" x 7" photo of the nominee; photocopies are not acceptable.
4. A nomination letter, not exceeding two pages.
5. A brief biography of the nominee, not exceeding one page.
6. A business profile, not exceeding one page.
7. A concise statement of the qualities and performance that merit the award, not exceeding two pages.
8. A financial statement of the nominee, not exceeding 12 pages, on 8-1/2" x 11" paper (required only for Small Business Person of the Year, Exporter of the Year, Entrepreneurial Success Award, Home-based Business of the Year and the SBA Young Entrepreneur of the Year). Click on: <http://www.sba.gov/sbaforms/sba413.pdf> for a copy of the form.
9. Any other support documentation deemed significant by the nominator, including news clips, letters of recommendation, and other evidence of the appropriateness of the nomination. Support documentation must not exceed 10 pages. Videos will not be considered.
10. A completed Consent for Disclosure of Information (SBA Form 2137 -- <http://www.sba.gov/mn/sbaform2137.html>).

### **Selection Procedures**

Small Business Persons of the Year and Advocates of the Year will be selected at the state and national levels. In those states served by more than one SBA district office, awards will also be presented at the district level. Winners at each level will be considered for awards at the next highest level. Nomination packages for each state winner will be sent to regional SBA offices and prepared for transmittal to the SBA's Office of Advisory Council for review. The National Small Business Person of the Year will be selected by the SBA's Administrator based on the recommendations of the agency's Small Business Week panel of judges. The winner will be announced during National Small Business Week.

### **Key Deadlines:**

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| Dec. 6, 2002  | National Small Business Week 2003 nominations must be received by SBA district offices.  |
| Jan. 10, 2003 | SBA district winners are selected from multidistrict states; state winners are selected from single-district states.           |
| Feb. 10, 2003 | A panel of judges selects state winners in multi-district states. SBA Office of Advocacy judges select the National Advocates. |
| Mar. 14, 2003 | State Small Business Persons of the Year and National Advocates of the Year are announced. Eligibility and Evaluation Criteria |

All nominees for National Small Business Week awards must be residents of and employed in the United States and will be subject to background checks. Small businesses owned and operated by nominees must comply with federal civil rights laws (see page 1 for nomination requirements). The U.S. Small Business Administration's size standards apply in defining a business as small. Consult your nearest SBA district office if you have questions.

### **Small Business Person of the Year Award**

Any individual who owns and operates or bears principal responsibility for operating a small business may be nominated. Partners who jointly own and operate a small business may be nominated as a “team,” so long as the number of individuals in the team nomination does not exceed four.

#### Evaluation Criteria:

1. Staying power — a substantiated history as an established business.
  - Number of years in business.
  - Sustained expansion, addition of territories, growth in square footage occupied.
  - Steady growth in net worth as evidenced by total assets less total liabilities on fiscal year end annual balance sheets over three years.
2. Growth in number of employees — a benchmark to judge the impact of the business on the job market.
  - Sustained over a minimum of five years.
  - Increase over the five years must be in excesses of growth in national GNP.
3. Increase in sales and/or unit volume — an indication of continued growth over the last five years.
  - Consistent growth in net income as evidenced by fiscal year on annual profit and loss statements over a minimum of three years.
4. Current and past financial reports — a substantiation of improved financial position of the business.
  - Profit-and-loss statements for last three years, reflecting sustained upward growth.
  - Balance sheets for the last three years, showing consistent increase in net worth and/or partners’ return.
5. Innovativeness of product or service offered — an illustration of the creativity and imagination of the nominee.
  - Specific description of uniqueness of product or service.
  - Explanation of how product or service fits a niche not being adequately addressed by the competition.
6. Response to adversity — examples of problems faced in the nominee’s business and the methods used to solve them.
  - Specific description of financial, physical, legal or other crisis.
  - Substantiation of the threat to the continuity of the business.
  - Defined actions taken by the nominee to resolve the crisis.
7. Contributions to aid community-oriented projects — evidence of the use of his/her personal time and resources.
  - Specific listing of contributions of money, time, or resources to charitable causes.
  - Membership in councils, boards and clubs providing support and services to the community.

### **Small Business Advocate Awards:**

#### **Entrepreneurial Success**

Individuals meeting the following two criteria may be nominated: They must own and operate businesses initially launched as small businesses according to SBA size standards and subsequently developed into large businesses; and they must have received SBA assistance to help the businesses grow.

#### Evaluation Criteria:

- SBA assistance — Documentation of the type(s) and amount(s) of SBA assistance received by the business and the year(s) in which they were received.
- Growth in number of employees — A benchmark to judge the impact of the business on the job market. (Note: Since the size of the work force varies from industry to industry, nominees must have met the SBA size standards for small businesses in their industries at the time of the SBA assistance and now exceed those standards.)
- Increase in sales and/or unit volume — Documentation comparing annual sales at the time of the SBA’s assistance to current annual sales.
- Current and past financial reports — To substantiate the financial strength of the business, profit-and-loss statements for the past three years must be provided.
- Innovativeness of product or service offered — An illustration of the creativity and imagination of the business demonstrating its ability to remain competitive.
- Evidence of contributions to community-oriented projects — While community involvement is important for any business, regardless of size, the added resources of a larger business demand an even greater role in advancing the interests of the community.

### **Financial Services Advocate of the Year**

Individuals who assist small businesses through advocacy efforts to increase the usefulness and availability of accounting or financial services, may be nominated.

Evaluation Criteria:

- Outside of regular business duties, the amount and quality of assistance given small businesses to obtain financing.
- Advocacy of changes in the financial services industry to assist small companies.
- Encouragement of the flow of investment capital to small ventures.
- Active support for legislative or regulatory action designed to help small firms.
- Other significant contributions through the financial services or accounting industries to advance small business interests.

### **Home-based Business Advocate of the Year**

Individuals who have experienced the rewards and difficulties of home-based businesses and have volunteered to improve the climate for these businesses may be nominated.

Evaluation Criteria:

- Volunteering time and energy to improve the conditions for home-based businesses.
- Engaging in entrepreneurial training, policy development efforts, or financial or business planning specifically tailored for home-based businesses.
- Demonstrated interest in home-based businesses as an owner or former owner.
- Measurable accomplishments in advancing home-based businesses, such as adoption of public policy or expansion of a program.

### **Minority Small Business Advocate of the Year**

Individuals who have fulfilled a commitment to support minority entrepreneurship may be nominated. Nominees may or may not be small business owners.

Evaluation Criteria:

- Volunteer efforts beyond business/professional responsibilities to advance minority small business interests within the community, state and/or nation.
- Demonstrated efforts to improve conditions in the minority small business community as a whole, not solely for individual personal advancement.
- Voluntary provision of professional services to the minority small business community in a legal, legislative, managerial or financial capacity.
- Demonstrated accomplishments in advising minority small business groups of opportunities within the overall business community.
- Other accomplishments demonstrating the nominee's merit as an effective advocate for minority small business interests.

### **Research Advocate of the Year**

Individuals who have contributed to the promotion of small business through research may be nominated.

Evaluation Criteria:

- Research products, including publications, articles, or presentations on the value of small business.
- Development or implementation of curricula to study small business or entrepreneurship.
- Promotion of small business issues through research presented at national or international conferences.

### **Small Business Exporter of the Year**

Any individual who owns and operates a small business engaged in exporting may be nominated.

Evaluation Criteria:

- Increased sales, profits and/or growth of employment because of exporting. (The nomination package must include profit-and-loss statements and balance sheets for the past three years.)
- Creative overseas marketing strategies, with a description of the products exported and markets served.
- Effective solutions to export-related problems.
- Demonstrated encouragement of other small businesses to export.
- Volunteer assistance to other small businesses entering the export market.
- Cooperation with other businesses in the creation of export trading companies and/or introduction of unique trading relationships, products or services.

### **SBA Young Entrepreneur of the Year**

Any individual who serves as a majority owner and operates or bears principal responsibility for operating a small business with a three-year track record, and who will not have reached the age of 30 by June 1, 2003, may be nominated.

Evaluation Criteria:

- Evidence of success as measured by sales and profits. (The nomination package must include profit-and-loss statements and balance sheets for the past three years.)
- Increased employment opportunities created by the nominee's business.
- Development and/or utilization of innovative or creative business methods.
- Demonstrated entrepreneurial potential necessary for long-term business success and economic growth.

### **Small Business Journalist of the Year**

Journalists representing television, radio, electronic or print media may be nominated. Sample articles or tapes are encouraged.

Evaluation Criteria:

- Concerted efforts to increase public understanding of the importance of small business contributions to the economy.
- Contribution of news and feature stories, editorials, columns and commentary that highlight and analyze small business issues.
- Voluntary community service aimed at enhancing small business opportunity and growth.
- Other achievements that exemplify the nominee's media efforts to improve the understanding of the role of small business in the U.S. economy.

### **Veteran Small Business Advocate of the Year**

Individuals who have fulfilled a commitment to advancing small business opportunities for veterans of the U.S. armed forces may be nominated. Nominees may or may not be veterans.

Evaluation Criteria:

- Active support for legislative or regulatory action designed to help small businesses.
- Evidence of increased business opportunities for veterans as a result of the nominee's actions.
- Advisory activities to improve awareness among veterans' groups of small business opportunities.
- Advocacy of special consideration for veteran-owned small businesses in government policymaking.
- Demonstrated accomplishment in obtaining support within the community for the establishment of veteran-owned small businesses.
- Other accomplishments demonstrating the nominee's effective advocacy of veteran-owned small businesses.

### **Women in Business Advocate of the Year**

Individuals who have fulfilled a commitment to the advancement of women's business ownership may be nominated. Nominees may or may not be small business owners.

Evaluation Criteria:

- Active support for legislative or regulatory action designed to help small businesses.
- Efforts to increase business and financial opportunities for women.
- Legal, financial or managerial assistance provided to enhance women's business ownership.
- Volunteer efforts to strengthen the role of women business owners within the community.
- Advocacy of the women-owned business community as a whole, not solely for individual personal advancement.
- Other accomplishments demonstrating effectiveness in improving the environment for the creation and expansion of businesses owned and operated by women.

**Send your nomination to: James A. Williams  
U.S. Small Business Administration  
400 North 8<sup>th</sup> Street, Suite 1150  
Richmond, Virginia 23240-0126  
For More Information Call: (804) 771-2400 ext.123  
(804) 771-2764 fax**

**Nomination SBA Form 898: <http://www.sba.gov/sbaforms/sba898.pdf> must be submitted with each nomination.**

SBA Consent for Disclosure Form 2137 (<http://www.sba.gov/mn/sbaform2137.html>)

**Small Business Week  
Consent for Disclosure of Information**

The undersigned herewith agrees and consents to the disclosure by the Small Business Administration (SBA) of the information in its file concerning his/her nomination for the Small Business Person of the Year, Advocate of the Year, or Special Awards winners (Phoenix Award) to those persons chosen by the SBA as judges for these awards.

This consent is given with the understanding that disclosure shall be limited to the information contained in that file, and that no disclosure shall take place after the selection of the Small Business Person of the Year and Advocate and Special Award winners is made.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

SBA Form 2137