Compliance Contacts
Contact the following individual(s) to get more information on complying with Department of Energy regulations:

Katrina Lee
202-586-7377
katrina.lee@hq.doe.gov
Administrative Support Specialist

Compliance Resources
The following agencies and offices provide compliance resources for small businesses:

Office of Small and Disadvantaged Business Utilization

- **Who Can Participate?**
  Rules for small business contracts

- **Financial Assistance Program Rule**
  Describes the programs involved in the regulation 10 CFR Part 605 which is now known as the Office of Science Financial Assistance Program.

- **Sample Small Business Subcontracting Plan (Word Doc)**
  This link directs the user to a Sample Small Business Subcontracting Plan word document, which explains how to satisfy the applicable requirements of Public Law 95-507 as implemented by FAR Clause 52.219-9.

- **Describes how Small businesses compete for research grants/contracts in response to technical topics in annual solicitations from 11 Federal agencies.**

- **Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) Getting Started Guide**
  The SBIR and STTR Phase I funding notice lists all the research topics under which DOE is seeking phase I proposals, and also contains detailed information on the parameters of the SBIR and STTR programs and how to submit a proposal.

- **Grant Application Guide**
  Guide containing information about the application process and criteria and grant award.

- **Office of Science Financial Assistance Program Grant Application Guide**
  This guide assists potential applicants and award recipients in understanding the required forms and formats necessary to submit a grant application, pre- and post-award administration requirements, and the award process utilized within Office of Science programs and DOE awarding offices.

- **Sample Small Business Subcontracting Plan (PDF)**
  Included on this website is a Sample Small Business Subcontracting Plan to satisfy the applicable requirements of Public Law 95-507 as implemented by FAR Clause 52.219-9.

- **Grant Preapplication Policy**
  A preapplication is an optional vehicle that constitutes a potential applicant's intent to submit a formal grant application. A preapplication allows the potential applicant to receive a response from the cognizant SC program office regarding the suitability of his/her proposed research project to SC's interests.

- **Office of Small & Disadvantaged Business Utilization**
  The Office of Small & Disadvantaged Business Utilization (OSDBU) and the Office of the Ombudsman handle small business compliance at the U.S. Department of Energy (DOE). And, each site facility and DOE contractor has a small business manager dedicated to small business compliance.

- **Energy-Efficient Procurement Requirements online course**
  The Energy Policy Act of 2005 requires that federal agencies purchase only ENERGY STAR or FEMP designated products. These products use less energy and reduce life-cycle costs, ultimately saving taxpayers' dollars. In addition, since these products use less energy, they result in fewer greenhouse gas emissions and less air pollution. During this two-hour presentation, you will learn about this and other energy efficient procurement policies, which products this law applies to and how to obtain these products from the federal supply agencies and commercial sources. Specific examples will be covered.

- **Energy-Efficient Products Home Page**
  As the world’s largest volume-buyer of energy-related products, the federal government can reduce energy consumption and achieve enormous cost savings by purchasing energy-efficient products. Federal buyers are now required by the Energy Policy Act of 2005 to purchase products that are ENERGY STAR-qualified or FEMP-designated. (These products are in the upper 25% of energy efficiency in their class.) The purchasing requirements are codified by FAR Part 23. In addition, Executive Order 13221 requires that
federal agencies purchase products with low standby power. This web site helps federal purchasers identify these types of highly efficient products.

- **Selling Energy-Efficient Products to the Federal Government**
  The US Government is a major purchaser of products from suppliers throughout the country. Companies with energy-efficient products and services have special opportunities in the federal sector. This document highlights the major avenues to becoming a supplier and gives sources of information for private companies to use.

- **Federal Procurement Opportunities for “Green” Vendors**
  The U.S. government is the single largest consumer in the nation, purchasing more than $250 billion in goods and services each year. Various laws, Presidential Executive Orders, and procurement regulations now require federal agencies to purchase “green” (biobased, recycled content, and energy efficient) products. A vast market opportunity exists for vendors who provide these green products. This brief guide for vendors will facilitate their access to increased federal government procurement opportunities; enhance their ability to compete at the prime and subcontract levels; promote their knowledge of new government contracting initiatives (e-Buy and Sub-Net); and make it easier for them to conduct business with the federal government.

- **Procurement of Architectural and Engineering Services for Sustainable Buildings**
  The Procurement of Architectural and Engineering Services for Sustainable Buildings guide was prepared to be a resource for federal construction project managers and others who want to integrate the principles of sustainable design into the procurement of professional building design and consulting services.

- **DOE Building Technologies Commercialization Program**
  The U.S. Department of Energy's Building Technologies commercialization program is an interactive method of pulling new energy-efficient technologies and products into the marketplace. The program is an effective method for building a self-sustaining supply and demand for new highly efficient equipment.

- **Commercial Compliance Using COMcheck**
  The Dept. of Energy's COMcheck materials simplify and clarify commercial and high-rise residential energy code compliance. Forms and checklists are included for documenting compliance. Downloadable and web-based software allow builders to evaluate their commercial construction against International Energy Conservation Code (IECC) and American Society of Heating, Refrigerating and Air-Conditioning Engineers (ASHRAE) commercial codes.

- **Residential Compliance Using REScheck**
  The U.S. Department of Energy's (DOE's) REScheck materials have been developed to simplify and clarify code compliance with the Model Energy Code (MEC), the International Energy Conservation Code (IECC), and a number of state codes. Downloadable and web-based software is available to determine energy code compliance for residential buildings.

- **Building Energy Codes Program**
  Provides links to software and training that assists builders in determining energy code compliance for both residential and commercial buildings.

- **Appliances and Commercial Equipment Standards Program**
  Provides manufactures with information about the laws, regulations, and standards.

- **DOE Procurement Offices**
  This web page provides list of the contracting offices and Procurement Directors throughout the U.S. Department of Energy. You may contact them concerning potential business opportunities or any questions you may have about their programs via their Web sites or by telephone.

- **E-Center Business and Financial Assistance Opportunities with Energy**
  The U.S. Department of Energy's (DOE's) e-Center is the Department's web site for information on doing business with DOE, including viewing current business opportunities, registering to submit proposals, and obtaining information and guidance on the acquisition and financial assistance award process.

- **Environmental Policy and Guidance (Dept of Energy)**
  The U.S. Department of Energy's (DOE's) Environmental Policy and Guidance web site provides access to DOE-wide environmental protection policies and strategies for protecting the public and the environment and for achieving environmental compliance with internal and external environmental requirements. This web site is maintained to provide guidance and training to DOE federal and contractor personnel on Federal environmental regulatory programs.

- **Office of NEPA Policy and Compliance**
  The U.S. Department of Energy's (DOE's) NEPA web site provides information regarding DOE NEPA-related activities. The site includes full-text searchable DOE NEPA Documents, NEPA Guidance, and links to other NEPA-related web sites.

- **Energy Policy Act (EPAct), FreedomCAR & Vehicle Technologies Program**
  The Energy Policy Act of 1992 (EPAct) was passed by Congress to reduce our nation's dependence on
imported petroleum by requiring certain fleets to acquire alternative fuel vehicles, which are capable of operating on nonpetroleum fuels. The U.S. Department of Energy administers the regulations through the Federal Fleet Requirements, State and Alternative Fuel Provider Rule, Private and Local Government Fleet Rule, and Alternative Fuel Designation Authority.

- **Energy Employees Occupational Illness Compensation Program (EEOICP)**
  Provides lump-sum compensation and health benefits to eligible Department of Energy nuclear weapons workers (including employees, former employees, contractors and subcontractors) and lump-sum compensation to certain survivors if the worker is deceased.