U.S. Securities and Exchange Commission (SEC)

Compliance Contacts
Contact the following individual to get more information on complying with SEC regulations:

Sebastian Gomez Abero, Chief
Office of Small Business Policy
Division of Corporation Finance
U.S. Securities and Exchange Commission
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Compliance Resources
The SEC's Office of Small Business Policy provides compliance resources for small businesses:

- **SEC Office of Small Business Policy**
  Contact for specific information, or questions or comments about federal securities regulations as they affect small business.

- **SEC Guidance on Information for Small Businesses**
  Information on government regulations regarding the sale of securities by smaller companies and financial and other reporting for publicly traded smaller companies.

Additional SEC Information

The 2012 **Jumpstart Our Business Startups (JOBS) Act** was enacted to encourage small business growth and startup funding by increasing the ability of small businesses to raise capital and by lessening certain regulatory requirements for individual investments in new businesses. Under the JOBS Act, the SEC is required to write rules that facilitate capital formation and promote investor protection, and to inform small businesses, medium sized businesses, women owned businesses, veteran owned businesses, and minority owned businesses of the changes made by the JOBS Act. For additional information on the changes made by the JOBS Act, see the [SEC JOBS Act Spotlight](#).