

SBA on GRANTS.GOV

List of Required Grant Forms

(Instructions and Guidelines)

This section provides instructions and guidelines for the forms that are required for the grant program to which you are applying. These forms must be submitted electronically via www.grants.gov. **NO other forms of submission or late submissions will be accepted.**

Questions regarding the Program Announcement (programmatic or financial) should be directed to SBA, Office of Women's Business Ownership at 202-205-6673 or OWBO@sba.gov. **ONLY** eligible applicants should contact SBA.

Technical questions regarding Grants.gov should be directed to the Grants.gov Help Desk at 1-800-518-4726. The SBA cannot provide technical assistance regarding the Grants.gov electronic grant submission system.

1. **Application for Federal Assistance (SF-424)** -- this form asks for basic information about your organization. Instructions how to complete this form are located in Section VIII of the Program Announcement Note: Block 18 should only reflect total funding for the budget period identified in the program announcement for which you are completing.
2. **Budget Information - Non-Construction Programs (SF-424A)** -- this form is the Applicant's estimate of the total cost of performing the project or activity for the project year for which you are completing.

The Federal amount should not exceed the funding level stated in the program announcement.

All proposed costs reflected in the budget must be necessary to the project, reasonable and otherwise allowable under applicable cost principles and agency policies.

3. **Assurances - Non-Construction Programs (SF-424B)** -- this form is for certification that the organization will comply with all applicable requirements of the Federal laws, executive orders, regulations, and policies governing the grant program.
4. **Annual Budget Summary (B10-B16)**. The worksheet (which is a Microsoft Excel document) requests breakout of all costs shown on the SF-424A. It also requests the narrative details about your budget. Be sure to provide all cost narrative details in the manner requested by the worksheets (directions for narrative are provided in each section).

The Annual Budget Summary Worksheet (B10 – B16) is located at <https://www.sba.gov/offices/headquarters/wbo/resources/12967>

5. **SBA Attachment Form** -- when you open the SBA Attachment Form, you will find 15 attachment buttons, labeled "Attachment 1" through "Attachment 15". By clicking on a button, you will be able to choose the file from your computer that you wish to attach. Submit files in Microsoft Word, Excel, or PDF formats. Please attach the proper file to the proper button as listed below.

NOTE: To save your documents as PDFs, you will need to use PDF generator software.

ATTACHMENT 1 -- to this button, **attach your Cover Letter** as identified in Section IV of the program announcement.

Name this document: Cover Letter

ATTACHMENT 2 -- to this button, **attach your Technical Proposal (include a Table of Contents - including attachments)** as identified in Section IV of the program announcement.

Name this document: Technical Proposal

ATTACHMENT 3 -- to this button, **attach your Supporting Documents listed in your Table of Contents** as identified in Section IV of the program announcement.

Name this document: Supporting Documents

ATTACHMENT 4 -- to this button, **attach your Annual Budget Summary Worksheets (B10-B16) and Certification of Cash Match and Program Income. Include Sources Worksheet and activity expected to generate the program income (if any) for the Base Period of 12 months (Sep 30, 2016 through September 29, 2017).**

Name this document: Base Period Budget

ATTACHMENT 5 -- to this button, **attach the SBA Forms 1224, Cost Sharing Proposal Form.**

Name this document: Cost Sharing Proposal

ATTACHMENT 6 -- to this button, **attach the SBA Forms 1623, Certification Regarding Debarment and Suspension.**

Name this document: SBA Form 1623

ATTACHMENT 7 -- to this button, **attach the SBA Forms 1711, Certification Regarding Lobbying.**

Name this document: SBA Form 1711

ATTACHMENT 8 – 15 -- to these buttons, **attach any remaining documents necessary to complete the requirements of the Program Announcement.**

Name these documents names that easily identify the contents.

See the Checklist of Required Submissions for this Program Announcement below.

8.5.3 Checklist of Required Submissions for Program Announcement

Technical Proposal	Cost Proposal
<p>Address and submit each of the following as instructed and outlined in the Program Announcement. Program Information Narrative</p> <p>___ Table of Contents</p> <p>___ Mission Alignment and Experience</p> <p>___ Organization and Structure</p> <p>Include the following:</p> <ul style="list-style-type: none"> - Organization Chart (include names, positions titles, and percentage of time each will devote to the project for all proposed full- and part-time employees working on the WBC project) - Résumé (for WBC Director) - Position Description (for ALL personnel) - List of Board of Directors (include phone number and e-mail address) - WBC Hours & Planned Closures <p>___ Market Assessment for Services Provided</p> <p>___ Project Objectives & Milestones Narratives</p> <p>___ Plans for Marketing and Collaboration with the SBA & Other Community & Small Business Organizations</p> <p>___ Include commitment letters and/or cooperative agreements.</p> <p>___ Sources and Leverages of Funds</p> <p>___ Financial Management Capability & Certification of Match</p> <p>___ Include a certification that the financial system to be used for the non-Federal entity's WBC project meets 2 CFR Part 200.302 and 200.333. (Note: Letter may be from the applicant's auditor, CPA, treasurer, comptroller, CFO or similarly qualified individual.)</p> <p>___ Program Evaluation and Economic Impact</p> <p>___ Technology Capability</p> <p>Supporting Documents</p> <p>___ List of all Federal awards received w/in last 5 years - include: 1) grantor agency name; 2) CFDA number; 3) the year of the award; 4) the amount of the award; & 5) status of award (i.e., if award is ongoing or closed).</p> <p>___ Concurrence from District Director regarding local market needs</p> <p>___ Active SAM Registration – A print out showing a valid SAM registration and the date of expiration</p> <p>___ Copy of All Subcontracts and Agreements (may not exceed 49% of total budget)</p> <p>___ Conflict of Interest policy signed by all current employees, contractors, and instructors</p> <p>___ Articles of Incorporation</p> <p>___ Non-Profit Verification (501(c) Certification from IRS)</p> <p>___ SBA Form 1623 (Debarment & Suspension)</p> <p>___ SBA Form 1711 (Lobbying)</p> <p>___ SF-LLL (Lobbying)</p> <p>___ SBA Form 1224 (Cost Sharing Proposal)</p> <p>___ Approved Indirect Cost Rate or Cost Allocation (if applicable)</p> <p>___ Most recent audit (or audited financial statement)</p>	<p>Must complete the SF-424 Package for the Base Period SF 424 Packages and Budget Details</p> <p>For the Base Period – Submit the following:</p> <p>___ SF-424</p> <p>___ SF-424A</p> <p>___ SF-424B</p> <p>___ Annual Budget Summary (B10 – B16)</p> <p>___ Certification of Cash Match and Program Income Include a list of sources for cash match and detail the activity which will generate program income. Note: In-kind should not be included on this worksheet.</p> <p>For Estimated Funding Requirements for Option Years 1 through 5 (Option Years 1 through 2 if Renewal Phase) – Complete the following:</p> <p>___ Ensure that Section E of the Base Period SF-424A is completed as instructed in the program announcement.</p> <p>MAKE SURE OF THE FOLLOWING</p> <ol style="list-style-type: none"> 1. The SF-424 reflects the correct Federal amount and non-Federal match of which 50% is in the form of cash. Note: Cash match is all non-Federal cash and program income; 2. The SF-424A, block 6. a. – k., breaks out the Federal share (in column 1); the non-Federal cash (in column 2); in-kind (in column 3) & program income (in column 4); and 3. The budget does not include non-expendable equipment. Non-expendable equipment is <u>unallowable</u> under the grant. Only expendable equipment, (valued below \$5,000 per piece) is allowable and must be shown under the "Supplies" cost category.