

SBA on GRANTS.GOV

List of Required Grant Forms

(Instructions and Guidelines)

This section provides instructions and guidelines for the forms that are required for the grant program to which you are applying. These forms must be submitted electronically via www.grants.gov. **NO other forms of submission or late submissions will be accepted.**

Questions regarding the Program Announcement (programmatic or financial) should be directed to SBA, Office of Women's Business Ownership at 202-205-6673 or OWBO@sba.gov. **ONLY** eligible applicants should contact SBA.

Technical questions regarding Grants.gov should be directed to the Grants.gov Help Desk at 1-800-518-4726. The SBA cannot provide technical assistance regarding the Grants.gov electronic grant submission system.

1. **Application for Federal Assistance (SF-424)** -- this form asks for basic information about your organization. Instructions how to complete this form are located in Section VIII of the Program Announcement Note: Block 18 or 15 of each version of the form should only reflect total funding for the project year for which you are completing.
2. **Budget Information - Non-Construction Programs (SF-424A)** -- this form is the Applicant's estimate of the total cost of performing the project or activity for the project year for which you are completing.

Applicants must submit option year budgets in the Attachment Form as instructed below.

The federal amount should not exceed the funding level stated in the program announcement.

All proposed costs reflected in the budget must be necessary to the project, reasonable and otherwise allowable under applicable cost principles and agency policies.

3. **Assurances - Non-Construction Programs (SF-424B)** -- this form is for certification that the organization will comply with all applicable requirements of the federal laws, executive orders, regulations, and policies governing the grant program.
4. **Annual Budget Summary (B10 - B16)**. This worksheet (formatted as a Microsoft Excel document) requests breakout of all costs shown on the SF-424A. It also requests the narrative details about your budget. Be sure to provide all cost details in the manner requested by the form (directions for details are provided in each section).

The Annual Budget Summary Worksheet (B10–B16) is located at: <https://www.sba.gov/offices/headquarters/wbo/resources/12967>

5. **SBA Attachment Form** -- when you open the SBA Attachment Form, you will find 15 attachment buttons, labeled "Attachment 1" through "Attachment 15". By clicking on a button, you will be able to choose the file from your computer that you wish to attach. Submit files in the format allowed by the Grants.gov system. Please attach the proper file to the proper button as listed below.

NOTE: To save your documents as PDFs, you will need to use PDF generator software.

ATTACHMENT 1 -- to this button, **attach your Technical Proposal (include a Cover Letter, Table of Contents (including attachments))** as identified in Section IV of the Program Announcement. **Name this document:** **Technical Proposal**

ATTACHMENT 2 -- to this button, **attach your Annual Budget Summary Worksheets (B10-B16) for the Base Year (year one).** **Name this document:** **Base Year B10 B16**

ATTACHMENT 3 – to this button, **attach your Certification of Cash Match and Program Income for the Base year (year one).** **Name this document:** **Base Year Match Cert**

ATTACHMENT 4 – to this button, **attach your: SF-424, SF-424A, SF-424B, B10-B16, and Certification of Cash Match and Program Income for Option Year 1 (year two).** **Name this document:** **Option Year 1 Budget**

ATTACHMENT 5 – to this button, **attach your: SF-424, SF-424A, SF-424B, B10-B16, and Certification of Cash Match and Program Income for Option Year 2 (year three).** **Name this document:** **Option Year 2 Budget**

ATTACHMENT 6 – 15 -- to these buttons, **attach all remaining documents necessary to complete the requirements of the Program Announcement.** **Name the documents** names that easily identify its contents.

See the Checklist of Required Submissions for this Program Announcement below.

8.5.3 Checklist of Required Submissions for Program Announcement	
Technical Proposal	Cost Proposal
<p>Address and submit each of the following as instructed and outlined in the Program Announcement.</p> <p>Program Information Narrative</p> <p>___ Table of Contents</p> <p>___ Mission Alignment and Experience</p> <p>___ Organization and Structure</p> <p>Include the following:</p> <ul style="list-style-type: none"> - Organization Chart (include names, positions titles, and percentage of time each will devote to the project for all proposed full- and part-time employees working on the WBC project) - Résumé (for WBC Director) - Position Description (for ALL personnel) - List of Board of Directors (include phone number and e-mail address) - WBC Hours & Planned Closures <p>___ Market Assessment for Services Provided</p> <p>___ Ability to Reach Target Markets (Community Reinvestment Act (CRA) designated area information)</p> <p>___ Project Objectives & Milestones Narrative 3-Year Plan</p> <p>___ Plans for Marketing and Collaboration with the SBA & Other Community & Small Business Organizations</p> <p>___ Include commitment letters and/or cooperative agreements.</p> <p>___ Sources and Leverages of Funds</p> <p>___ Financial Management Capability & Certification of Match</p> <p>___ Include a certification that the financial system to be used for the non-Federal entity's WBC project meets 2 CFR Part 200.302 and 200.333. (Note: Letter may be from the applicant's auditor, CPA, treasurer, comptroller, CFO or similarly qualified individual.)</p> <p>___ Program Evaluation and Economic Impact</p> <p>___ Technology Capability</p> <p>Supporting Documents</p> <p>___ List of all Federal awards received w/in last 5 years - include: 1) grantor agency name; 2) CFDA number; 3) the year of the award; 4) the amount of the award; & 5) status of award (i.e., if award is ongoing or closed).</p> <p>___ Copy of All Subcontracts and Agreements (may not exceed 49% of total budget)</p> <p>___ Conflict of Interest policy signed by all current employees, contractors, and instructors</p> <p>___ Articles of Incorporation</p> <p>___ Non-Profit Verification (501(c) Certification from IRS)</p> <p>___ SBA Form 1623 (Debarment & Suspension)</p> <p>___ SBA Form 1711 (Lobbying)</p> <p>___ SF-LLL (Lobbying)</p> <p>___ SBA Form 1224 (Cost Sharing Proposal)</p> <p>___ Approved Indirect Cost Rate or Cost Allocation (if applicable)</p> <p>___ Most recent audit (or audited financial statement)</p>	<p>Must include three (3) separate SF-424 Packages One (1) for the Base Year budget AND one (1) for each of the two (2) Option Years under the project.</p> <p>SF 424 Packages and Budget Details</p> <p>For the Base Year – Submit the following:</p> <p>___ SF-424</p> <p>___ SF-424A</p> <p>___ SF-424B</p> <p>___ Annual Budget Summary (B10 – B16)</p> <p>___ Certification of Cash Match and Program Income</p> <p>Include a list of sources for cash match and detail the activity which will generate program income.</p> <p>Note: In-kind should not be included on this worksheet.</p> <p>For Option Years 1 and 2 - Submit the following:</p> <p>___ SF-424</p> <p>___ SF-424A</p> <p>___ SF-424B</p> <p>___ Annual Budget Summary (B10 – B16)</p> <p>___ Certification of Cash Match and Program Income.</p> <p>Include with the certification a list of sources for cash match and detail the activity which will generate program income. Note: In-kind should not be included on this worksheet.</p> <p>___ Option Year 1</p> <p>___ Option Year 2</p> <p>MAKE SURE OF THE FOLLOWING</p> <ol style="list-style-type: none"> 1. The SF-424 reflects the correct Federal amount and non-Federal match of which 50% is in the form of cash. Note: Cash match is all non-Federal cash and program income; 2. The SF-424A, block 6. a. – k., breaks out the Federal share (in column 1); the non-Federal cash (in column 2); in-kind (in column 3) & program income (in column 4); and 3. The budget does not include non-expendable equipment. Non-expendable equipment is unallowable under the grant. Only expendable equipment, (valued below \$5,000 per piece) is allowable and must be shown under the "Supplies" cost category.