

Mandatory Audits and Reviews in Process

- Applicant Eligibility for Disaster Loans Made During the Hurricane Sandy Extended Application Period
- Data Act Readiness Review
- FY's 2015 and 2016 Controls Over Cash Gifts
- FY 2016 Financial Statement Audit
- FY 2016 FISMA Reporting Process
- Purchase and Travel Card Risk Assessment

Other Audits and Reviews in Process

- 8(a) Business Development Participant Initial Eligibility
- 504 Program Liquidation
- Contract for Transportation Services
- Early-Defaulted Sandy Loans
- High Dollar/Early Defaulted Purchased 7(a) Loans
- Hurricane Sandy Technical Assistance Award to the New York Small Business Development Center
- Hurricane Sandy Technical Assistance Award to the SCORE Association
- Improper Payment Rate for the 7(a) Guaranty Loan Program
- Improper Payment Rate for the Disaster Assistance Loan Program
- Management of the Voluntary Early Retirement Authority/Voluntary Separation Incentive Payment
- Salary Setting and Pay Increases



AUDIT PLAN July 2016 Update

This work plan outlines the Small Business Administration's (SBA) Office of Inspector General (OIG) audit and evaluation priorities. In addition to our statutory mandates, we focus on those programs and operations that pose the highest risk to achieving SBA's strategic goals, objectives, and priorities; meeting budgetary and financial commitments; and complying with applicable laws, regulations, and guidance.

This plan is updated quarterly and reflects reviews that our office intends to focus resources on within an ensuing 12 month period. We may be required to perform unanticipated work based on Congressional requests, OIG Hotline tips, new statutory mandates, or requests from SBA. Such work, as well as resource constraints, may result in deferring, canceling, or modifying projects. Our effectiveness depends on our flexibility to address other priorities as they arise.

Audits and Reviews Planned

- 7(a) Loan Guaranty Approval Process
- 8(a) Business Development Participant Continuing Eligibility
- Boots to Business Program
- Credit Available Elsewhere for Disaster Loans
- Eligibility for South Carolina Severe Storm and Floods Disaster Home Loans
- External Peer Review
- Grant Closeout Process
- High Dollar/Early Defaulted Purchased 7(a) Loans
- Loan Liquidation and Charge-Off Activities at the National Guaranty Purchase Center
- Loans to Poultry Farms
- Microloan Program Oversight
- Oversight of the SCORE Association
- Oversight of the Secondary Market
- Oversight of the State Trade and Export Promotion Grant Program
- Unliquidated Contract Obligations

OIG Hotline

Some of our most important work has come from tips received on our Hotline. If there is any information that you think we should know, particularly with regard to fraud, waste, abuse, or mismanagement at SBA or within its programs, we encourage you to go to our website www.sba.gov/oig/hotline or call us at (800) 767-0385.