

Department of Energy

FY 2017 Small Business Procurement Scorecard

A
102.09%

FPDS-NG Prime Contracting Data as of Feb. 20, 2018
eSRS Subcontracting Data as of May 1, 2018

| Prime Contracting Achievement: | | | 51.08% |
|---|---------------------|--------------|----------------------|
| | 2016 Achievement | 2017 Goal | 2017 Achievement |
| Small Business | 5.39% | 10.20% | 13.79% (\$3.7 B) |
| Women Owned Small Business | 4.79% | 5.00% | 2.96% (\$796.1 M) |
| Small Disadvantaged Business | 9.53% | 5.00% | 4.89% (\$1.3 B) |
| Service Disabled Veteran Owned Small Business | 0.65% | 3.00% | 0.98% (\$263.5 M) |
| HUBZone | 0.15% | 3.00% | 0.62% (\$166.9 M) |

| Subcontracting Achievement: | | | 21.43% |
|---|---------------------|--------------|---------------------|
| | 2016 Achievement | 2017 Goal | 2017 Achievement |
| Small Business | 54.20% | 40.00% | 36.89% |
| Women Owned Small Business | 11.00% | 5.00% | 8.18% |
| Small Disadvantaged Business | 11.80% | 5.00% | 7.91% |
| Service Disabled Veteran Owned Small Business | 3.10% | 3.00% | 3.43% |
| HUBZone | 3.30% | 3.00% | 2.46% |

| OSDBU Compliance Requirements | | 19.58% |
|--|--|----------------------|
| Grading Scale: | | Peer Review Score |
| Subtotal Score /18 | | |
| Requirements Scores: | | |
| 0.6 = Unsatisfactory; 0.7 = Below Average; 0.8 = Satisfactory; 0.9 = Above Average; 1.0 = Excellent | | |
| 15(k)0 Office and Director Experience | | 0.96 |
| 15(k)1 Director Title | | 1.00 |
| 15(k)2 Compensation and Seniority | | 1.00 |
| 15(k)3 Reporting (Head of Agency or Deputy Head) | | 0.98 |
| 15(k)4 Implementation and Execution of Business Development | | 1.00 |
| 15(k)5 Identify and Address Bundling of Contracts | | 0.88 |
| 15(k)6 Provide Assistance on Payments | | 0.92 |
| 15(k)7 Supervisory Authority | | 1.00 |
| 15(k)8 Assign Small Business Technical Advisors | | 1.00 |
| 15(k)9 OSDBU Cooperation and Consultation | | 1.00 |
| 15(k)10 Recommendations to Contracting Officers | | 1.00 |
| 15(k)11 Activity Conversion | | 0.88 |
| 15(k)12 Advise CAO and SPE | | 1.00 |
| 15(k)13(Optional) SBC and Contracting Specialist Training | | 1.00 |
| 15(k)14 Receive Unsolicited Proposals | | 1.00 |
| 15(k)15 Exclusive Duties and Title | | 1.00 |
| 15(k)16 Congressional Reporting | | 1.00 |
| 15(k)17 Respond to Undue Restriction Notifications | | 1.00 |
| Total: | | 17.62 |

| Number of Small Business Prime Contractors Comparison | 10.00% |
|---|--------|
| Increase in number of SB primes by 10% or more = 1.3 | |
| Increase in number of SB primes by 5% or more but less than or equal to 10% = 1.2 | |
| Increase in number of SB primes between 0% and less than 5% = 1.1 | |
| No change in the number of SB primes (Change = 0%) 0% increase; 0% decrease (no change) = 1.0 | |
| Decrease in number of SB primes by more than 0% but less than -5% = 0.9 | |
| Decrease in number of SB primes by -5% or more but less than or equal to -10% = 0.8 | |
| Decrease in number of SB primes by -10% or more = 0.7 | |

| | 2016 Count | 2017 Count | 2017 Performance |
|---|---------------|---------------|---------------------|
| Small Business | 1,148 | 1,111 | 0.90 |
| Women Owned Small Business | 300 | 301 | 1.10 |
| Small Disadvantaged Business | 500 | 484 | 0.90 |
| Service Disabled Veteran Owned Small Business | 106 | 100 | 0.80 |
| HUBZone | 70 | 84 | 1.30 |
| Score: | | | 5.00 |

| Prime and Subcontracting Grading Scale: |
|---|
| A+ ≤ 150% but ≥ 120% |
| A < 120% but ≥ 100% |
| B < 100% but ≥ 90% |
| C < 90% but ≥ 80% |
| D < 80% but ≥ 70% |
| F < 70% |

Comments:**Graded Agency:**

Management and Operating Subcontract Reporting Capability (MOSRC): The U.S. Department of Energy's (DOE) prime contracting performance includes the Department's Management & Operations first-tier subcontracts, pursuant to 15 USC 644(g)(3), to the extent DOE publicly provided information on small business subcontractors, including the names and claimed size or socioeconomic status. Any first-tier subcontracts counted towards DOE's prime contracting goals were not counted towards DOE's subcontracting goals. Please see information below for DOE's FY15 Scorecard results, published prior to the inclusion of Management & Operations first-tier subcontracts.

FY17 Socioeconomic Subcategories:

The DOE OSDBU 2018-2021 Strategic Plan includes three major objectives:

- Making it easier for small businesses to do business with the Department of Energy
- Forging more productive relationships with internal small business stakeholders
- Achieving the socioeconomic goals set by the Small Business Administration

In addition to the major strategic plan objective in support of achieving the socioeconomic goals, DOE will co-host three major HUBZone Outreach events, and is planning to co-host additional SDVOSB events in FY18 and FY19 to increase our engagement with these underrepresented business populations, and further advance toward our socioeconomic small business utilization goals.

U.S. Department of Energy (DOE) additional Contributions to Small Businesses:

Further examples of documented DOE FY17 small business spend include the following categories. (The table below uses FY17 small business data from DOE program elements):

| DOE FY17 funds obligated to small businesses not included in FPDS-NG. Dollar amount | |
|--|---------------|
| 1.) Small Business obligations made to NAICS codes 42, 44, and 45 | \$140,700,525 |
| 2.) SBIR/STTR Phase I Grants | \$56,034,257 |
| 3.) SBIR/STTR Phase II Grants | \$186,725,735 |
| 4.) DOE Advanced Research Project Agency- Energy Awards (separate from the DOE Office of Science SBIR/STTR program) | \$9,051,404 |
| 5.) DOE Office of Energy Efficiency and Renewable Energy (EERE) Financial Assistance Awards | \$105,578,614 |
| Total Additional funds to Small Businesses by DOE in FY17 | \$498,090,535 |