

Office of Investment and Innovation
Small Business Administration

Instructions for Bank Identification Form (SBA Form 34)
(Funding Net Proceeds)

The Small Business Administration has made arrangements to have the proceeds of the funding of SBA-Guaranteed SBIC leverage disbursed to SBICs by wire transfer of funds to the bank accounts of the SBICs. This procedure will minimize the time lag between receipt of the proceeds of the funding and the availability of the net proceeds to the SBICs.

An SBIC's account may or may not be with a bank that participates in the Fedwire Funds Service ("PARTICIPANT BANK"). If an SBIC's account is with a PARTICIPANT BANK, the SBA or its agent will wire the net proceeds of the funding directly to the SBIC's bank for credit to the SBIC's bank account.

If the SBIC's account is not with a PARTICIPANT BANK, the non-PARTICIPANT bank or other financial institution where the funds are to be deposited must have the necessary agreements in place authorizing the PARTICIPANT BANK to act on its behalf. In this case, the SBA or its agent will wire the net proceeds of the funding to the PARTICIPANT BANK which will then credit the SBIC's bank or financial institution for further credit to the SBIC's account.

To receive funds, each SBIC must furnish the requested information, and have an authorized official sign and date the attached form. Please answer the question on the attached form and provide the information required.

A new Bank Identification Form must be submitted to SBA when your bank information changes. Please submit your new form, if required, to: U.S. Small Business Administration, Office of Investment and Innovation, Funding Admin Branch, 409 Third Street., SW, Washington, DC 20416. Please also email the new form to us at sbicfunding@sba.gov.

CAUTION: Failure to provide any part of this information, or the filing of inaccurate information may delay your receipt of funds or prevent SBA or its agents from including your leverage request in the funding.

PLEASE NOTE: The estimated burden for completing this form is 20 minutes per response. You are not required to respond to any collection of information unless it displays a currently valid OMB approval number. Comments on the burden should be sent to U.S. Small Business Administration, Chief, AIB, 409 Third Street., SW, Washington D.C. 20416 and Desk Officer for the Small Business Administration, Office of Management and Budget, New Executive Office Building, Room 10202, Washington, D.C. 20503. OMB Approval (3245-0081). **DO NOT SEND FORMS TO OMB.**

BANK IDENTIFICATION FORM
for
DISBURSEMENT OF LICENSEE'S AMOUNT OF NET PROCEEDS

ANSWER THE FOLLOWING QUESTION AND READ THE INSTRUCTIONS BEFORE COMPLETING THE INFORMATION REQUIRED BELOW.

Is your account with a bank that is a PARTICIPANT OF THE FEDWIRE FUNDS SERVICE (PARTICIPANT BANK)? (Check one) YES NO

If the answer to the above question is YES, complete only Section I below.

If the answer to the above question is NO, complete both Sections I & II below.

SBIC BANK IDENTIFICATION INFORMATION

Section I --PARTICIPANT BANK (AGENT BANK)

Account Number: _____

ABA Number: _____

Name of Bank: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Section II --NON-PARTICIPANT MEMBER (BANK OR OTHER FINANCIAL INSTITUTION):

Account Number: _____

ID Number (Routing (ABA) Number,
Bank Identifier Code (BIC), UID, or Other ID): _____

Name of Bank or Other
Financial Institution: _____

Additional Information (if needed): _____

Street Address: _____

City: _____ State: _____ Zip: _____

By: _____
(Name of SBIC or Applicant)

(License No., if issued)

(Authorized Signature)

(Date)